



RECEIVED

SEP 03 2004

GROUP 3600

The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

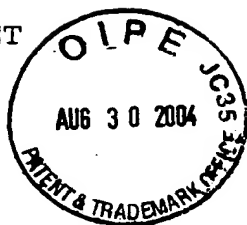
- | | |
|---|--|
| <input type="checkbox"/> Application - Spec., Claims, Abstract (___ Page(s)) | <input type="checkbox"/> Verified Statement (___ Page(s)) |
| <input type="checkbox"/> CIP <input type="checkbox"/> Conv. Prov. <input type="checkbox"/> Div. | <input type="checkbox"/> ___ Mo. Extension of Time (___ Page(s)) |
| <input type="checkbox"/> Cont. <input type="checkbox"/> Provisional | <input type="checkbox"/> Amendment/Response (___ Page(s)) |
| <input type="checkbox"/> Informal/Formal Drawing(s) (___ Page(s)) | <input type="checkbox"/> Issue Fee Transmittal (___ Page(s)) |
| Declaration(s)/Power(s) of Attorney (___ Page(s)) | <input type="checkbox"/> Check No. ___ Amt.: \$ |
| <input type="checkbox"/> Executed <input type="checkbox"/> Unexecuted | <input type="checkbox"/> Check No. ___ Amt.: \$ |
| <input type="checkbox"/> Assignment w/ or w/o Cover Sheet (___ Page(s)) | <input type="checkbox"/> Other: |
| <input checked="" type="checkbox"/> IDS and PTO-1449 (3 Page(s)) | |
| <input checked="" type="checkbox"/> w/Ref | |
| <input type="checkbox"/> Non-Publication Request (___ Page(s)) | |

Inventor(s): Frederick L. Ross	Serial No.: 09/865,470
Title: Local Returns of Remotely Purchased Merchandise with Return Code Validation	
Client/Applicant: Newgistics, Inc.	BB File No.: 067439.0119
Mailed: 11/12/03	Certificate of Mailing
Due: ACL	<input checked="" type="checkbox"/> Express Mail Rpt. No.: EV352392645US
Atty./Secy.: EFF /adl	<input type="checkbox"/> First Class Mail
	<input type="checkbox"/> Hand Delivered

Receipt Date & Serial No.:



ATTORNEY DOCKET
067439.0119



PATENT
09/865,470

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Frederick L. Ross
Serial No.: 09/865,470
Date Filed: May 24, 2001
Group Art Unit: 3625
Examiner: Sabrina A. Chang
Title: LOCAL RETURNS OF REMOTELY
PURCHASED MERCHANDISE WITH
RETURN CODE VALIDATION

RECEIVED

SEP 03 2004

GROUP 3600

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this
Information Disclosure Statement is
being deposited with the United
States Postal Service as Express
Mail No. EV352392645US addressed
to: Commissioner for Patents, P.
O. Box 1450, Alexandria, VA 22313-
1450, on the date shown below.


Jay Howard

11/12/03

Date

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. A copy of the references is enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

ATTORNEY DOCKET
067439.0119

PATENT
09/865,470

2

Applicant believes no fees are due, however, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 50-2148 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.
Attorneys for Applicant

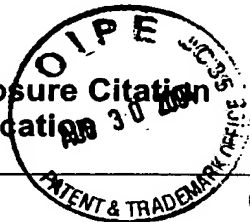
A handwritten signature in dark ink, appearing to read 'Ann C. Livingston', with a horizontal line extending to the right.

Ann C. Livingston
Reg. No. 32,479

Correspondence Address:
Ann Livingston
Baker Botts L.L.P.
1500 San Jacinto Center
98 San Jacinto Blvd.
Austin, Texas 78701
(512) 322-2634

Date: 11-11-03

PTO-1449

**Information Disclosure Citation
in an Application**


Application No.

09/865,470

Docket Number

067439.0119

Applicant(s)

Frederick L. Ross

Group Art Unit

3625

Filing Date

5/24/01

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	6616189	9/9/03	Raming	283	81	6/8/2001
	B	6536659	3/25/03	Hauser et al.	235	375	11/15/2000
	C	6547136	4/15/03	Sansone	235	385	11/27/2000
	D	2002/0095306	7/18/02	Smith et al.	705	1	9/28/2001
	E	2002/0032573	3/14/02	Williams, et al.	705	1	3/27/2001
	F	2002/0152093	10/17/02	Chalmers et al.	705	1	3/13/2002
	G	2002/0138356	9/26/02	Dutta et al.	705	26	3/26/2001
	H	2002/0032612	3/14/02	Williams, et al.	705	26	3/27/2001
	I	2003/0023496	1/30/03	De Mol Van Otterloo et al.	705	22	7/5/2002
	J	2001/0047315	11/29/01	Siegel	705	28	3/26/2001
	K	2001/0032147	10/18/01	Siegel	705	26	2/28/2001
	L						
	M						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	N							
	O							
	P							
	Q							

NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	R	UPS Autumn 2002 Forum, "The Far East is not so far," 12259 UPS Forum. ENG/SPEC 4 pages	10/17/02
	S	U.S. Patent Application Serial No. 10/659,465 entitled "Method and System Using Return Labels with Dynamically Generated Multiple Datapoint Coding", filed by Edward J. Stashluk Jr. et al. on 9/10/03.	9/10/03
	T		
	U		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

RECEIVED

SEP 03 2004

GROUP 3600